



3732

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT(s): Arthur A. Alfaro et al.

EXAMINER: Priddy, Michael

SERIAL NO: 09/803,266

GROUP: 3732

FILED: March 9, 2001

DATE: January 6, 2003

FOR: ANTERIOR LUMBAR  
SPACER

DOCKET: 285-148

**RECEIVED**

JAN 16 2003

Assistant Commissioner for Patents  
Washington, D.C. 20231

TECHNOLOGY CENTER R3700

**AMENDMENT TRANSMITTAL FORM**

Sir:

Transmitted herewith is an amendment in the above-identified application.

- ☒ Small entity status of this application under 37 C.F.R. §§1.9 and 1.27 has been established.
- ☐ A verified statement to establish small entity under 37 C.F.R. §§1.9 and 1.27 is enclosed.
- ☐ No additional fee is required.

For	Claims Remaining After Amendment	Highest No. Previously Paid For	Present Extra	Rate (Small Entity)	Addit. Fee	Rate	Addit. Fee
TOTAL CLAIMS*	37	21	16	x 9 =	\$144	x 18 =	\$0
INDEPENDENT CLAIMS	12	3	9	x 42 =	\$378	x 84 =	\$0
<input type="checkbox"/> First Presentation of Multiple Dep. Claim				140		280	\$0

\* If the entry in Col. 1 is less than entry in Col. 2, write "0" in Col. 3.

\*\* If the "Highest No. Previously Paid for" IN THIS SPACE is less than 20, enter "20".

\*\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, enter "3".

The Highest No. Previously Paid For" (Total or indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to the: Commissioner of Patents and Trademarks, Box Non-fee Amendments, Washington, D.C. 20231 on January 6, 2003.

Dated: January 6, 2003

Maria Goldman  
Maria Goldman

- ☐ Please charge Deposit Account No. 04-1121 in the amount of \$\_\_\_\_. Two (2) copies of this sheet are enclosed.
- ☒ A check in the amount of \$ 522.00 is enclosed.
- ☒ Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. §§1.16 and/or 1.17 at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account No. 04-1121. Also, in the event any extensions of time for responding are required for the pending application(s), please treat this paper as a petition to extend the time as required and charge Deposit Account No. 04-1121 therefor.

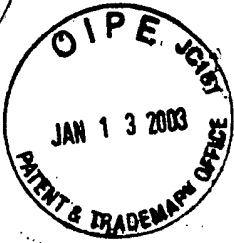
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Respectfully submitted,



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PGD/MRB:mg



T.A.  
1-28-02  
PATENT  
#7/a

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AMENDMENT

TECHNOLOGY CENTER R3700

Dear Sir:

In response to the Office Action of the United States Patent and Trademark Office  
mailed October 4, 2002, please amend the above-referenced application as follows:

IN THE SPECIFICATION:

Please replace the first paragraph beginning on the top of page 3 with the  
following:

a' -- A variety of different types of intervertebral implants have been developed to  
perform this function including spinal fusion cages, threaded bone dowels and stepped

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

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Dated: January 6, 2003

Maria Goldman  
Maria Goldman

01/14/2003 SSESHE1 00000072 09803266

01 FC:2202  
02 FC:2201

144.00 OP  
378.00 OP